

# 2

Attorney Docket No. 1330.1101

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

Christopher R. CORRIE, et al.

Application No.: To Be Assigned

Group Art Unit: To Be Assigned

Filed: August 14, 2001

Examiner: To Be Assigned

For: MULTI-CHANNEL GRANTS MANAGEMENT SYSTEM



**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:


In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application.

1. Enclosures accompanying this Information Disclosure Statement are:

- 1a. ☒ Form PTO-1449.
- 1b. ☒ Copies of IDS citations.
- 1c. ☐ An English language copy of search report(s) from a counterpart foreign application or a PCT International Search Report.
- 1d. ☐ English language translation (complete or relevant portion(s)) attached to each non-English language publication.
- 1e. ☐ Explanations of Relevancy of References (ATTACHMENT 1(e), hereto) for providing a concise explanation of each non-English publication.

2. ☐ In accordance with 37 CFR §1.98, a concise explanation of what is presently understood to be the relevance of each non-English language publication is

(Check appropriate Items 2a, 2b, 2c and/or 2d)

- 2a. ☐  satisfied because all non-English language publications were cited on the enclosed "English-language version of the search report or action which indicates the degree of relevance found by the foreign office". (See MPEP 609, Minimum Requirements for an Information Disclosure Statement, Part A(3): Concise Explanation of Relevance, pp. 600-100 to 600-101, Rev. 1, Feb. 2000.)
- 2b. ☐ set forth in the application.

- 2c. ☐ satisfied because an English language translation (complete or relevant portion(s)) is attached to each non-English language publication.
- 2d. ☐ enclosed as Attachment 1(e), hereto.
3. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith). 37 CFR §§1.97(g) and (h).

Respectfully submitted,

STAAS & HALSEY LLP

Dated: August 14, 2001  
700 Eleventh Street, N.W., Suite 500  
Washington, D.C. 20001  
Telephone: (202) 434-1500  
Facsimile: (202) 434-1501

By: Alicia M. Choi  
Alicia M. Choi  
Registration No. 46,621

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO. 1330.1101	APPLICATION NO.
<b>LIST OF REFERENCES CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>		FIRST NAMED INVENTOR Christopher R. CORRIE, et al.	
		FILING DATE August 14, 2001	GROUP ART UNIT

11000 U.S. PTO  
09/28364

08/14/01

#### U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

#### FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

#### OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AM ✓	Mulligan, Graham, O'Connor, "Welcome to the FreeBalance eGrants™ Presentation," February 14, 2001
	AN ✓	"FreeBalance and PEC Solutions form Strategic Partnership to Deliver Web-Enabled Grants Management Systems," press release, January 29, 2001
	AO ✓	"FreeBalance Helps Prepare Federal Agencies for May 2001 Deadline," press release, December 11, 2000

EXAMINER	DATE CONSIDERED
<p><b>*EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	

FORM PTO-1449 COMMERCE OFFICE	U.S. DEPARTMENT OF PATENT AND TRADEMARK	ATTORNEY DOCKET NO. 1330.1101	APPLICATION NO.
LIST OF REFERENCES CITED BY APPLICANT  (Use several sheets if necessary)		FIRST NAMED INVENTOR Christopher R. CORRIE, et al.	
		FILING DATE August 14, 2001	GROUP AND UNIT

11000 U.S. PTO  
 09/28364  
 08/14/01

#### U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLAS S	SUB- CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

#### FOREIGN PATENT DOCUMENTS

		DOCUME NT NO.	DATE	COUNTRY	CLAS S	SUB- CLAS S	TRANSLAT ION YES NO
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

#### OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP /	"The Curtain Rises on FreeBalance eGrants™," press release, November 1, 2000
	AQ /	Paula Shaki Trimble, "New product grants agencies' Wish," Federal Computer Week, December 13, 2000
	AR ✓	Kim Hanson, "FreeBalance tackles red tape", National Post, November 22, 2000

EXAMINER	DATE CONSIDERED
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTORNEY DOCKET NO.  
1330.1101

APPLICATION NO.

**LIST OF REFERENCES CITED BY APPLICANT***(Use several sheets if necessary)*FIRST NAMED INVENTOR  
Christopher R. CORRIE, et al.FILING DATE  
August 14, 2001

GROUP ART UNIT

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO	
	AG							
	AH							
	AI							
	AJ							
	AK							
	AL							

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AM ✓	Dan Caterinicchia, "Grants programs get Web-based help," Federal Computer Week, November 3, 2000
	AN ✓	Gail Repsher Emery, "Overseas Jobs Bring Challenge, Adventure," Washington Technology, November 6, 2000
	AO ✓	Jeff Jedras, "FreeBalance gets it hands dirty overseas," Silicon Valley North, November, 2000

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO. 1330.1101	APPLICATION NO.
<b>LIST OF REFERENCES CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>		FIRST NAMED INVENTOR Christopher R. CORRIE, et al.	
		FILING DATE August 14, 2001	GROUP ART UNIT

### U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

### FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO	
	AG							
	AH							
	AI							
	AJ							
	AK							
	AL							

### OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AM /	Chris Conrath, "Kosovo: A Society Rising from The Ashes of War," Computer World, October 31, 2000
	AN ✓	Derek Mellon, "Company balances Kosovo financial system," The Ottawa Business Journal, July 10, 2000
	AO ✓	Grants Management System (GMS) by Space Telescope Science Institute, (visited July 23, 2001), < <a href="http://ntweb.stsci.edu/gator">http://ntweb.stsci.edu/gator</a> >.

EXAMINER	DATE CONSIDERED
<p><b>*EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO. 1330.1101	APPLICATION NO.
<b>LIST OF REFERENCES CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>		FIRST NAMED INVENTOR Christopher R. CORRIE, et al.	
		FILING DATE August 14, 2001	GROUP ART UNIT

#### U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

#### FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO	
	AG							
	AH							
	AI							
	AJ							
	AK							
	AL							

#### OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AM	✓	University of Minnesota's Electronic Grants Management System (EGMS), (last modified April 11, 2001) <a href="http://nirvana.ortta.umn.edu:4000/index.html/">http://nirvana.ortta.umn.edu:4000/index.html/</a> .
	AN	✓	Grants Management System (GMS) in TAMIS (visited July 23, 2001) <a href="http://www.dai.com/tamis/types_of_tamis/grants_management.htm">http://www.dai.com/tamis/types_of_tamis/grants_management.htm</a> .
	AO	✓	Automated Grants Management System (AGMS), (visited July 23, 2001) <a href="http://www.grantsmanager.org/intro.html">http://www.grantsmanager.org/intro.html</a> .

EXAMINER	DATE CONSIDERED
<p><b>*EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO. 1330.1101	APPLICATION NO.
<b>LIST OF REFERENCES CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>		FIRST NAMED INVENTOR Christopher R. CORRIE, et al.	
		FILING DATE August 14, 2001	GROUP ART UNIT

#### U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

#### FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO	
	AG							
	AH							
	AI							
	AJ							
	AK							
	AL							

#### OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AM	J	CFO Council Grants Management Committee, (last modified May 18, 2001) <a href="http://www.financenet.gov/financenet/fed/cfo/grants/grants.htm">http://www.financenet.gov/financenet/fed/cfo/grants/grants.htm</a> .
	AN	/	Patience Wait, "Emerging Grants Management Market About to Open Up," Washington Technology, Volume 15, Issue 17, Nov. 11, 2000, < <a href="http://www.wtonline.com/vol15_no17/cover14929-1.html">www.wtonline.com/vol15_no17/cover14929-1.html</a> >.
	AO	/	Compliance Office could be formed within NIH Extramural Research Program, The Blue Sheet, Volume 42, Issue 29, July 21, 1999.

EXAMINER	DATE CONSIDERED
<b>*EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO. 1330.1101	APPLICATION NO.
<b>LIST OF REFERENCES CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>		FIRST NAMED INVENTOR Christopher R. CORRIE, et al.	
		FILING DATE August 14, 2001	GROUP ART UNIT

### U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

### FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	AG							
	AH							
	AI							
	AJ							
	AK							
	AL							

### OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

	AM <input checked="" type="checkbox"/>	Oracle Delphi Business Solutions, Grants Management, (visited July 23, 2001) < <a href="http://www.oracle.com/industries/education/index.html/business.html">http://www.oracle.com/industries/education/index.html/business.html</a> >.
	AN	
	AO	

EXAMINER	DATE CONSIDERED
<b>*EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	